



2155

7217/63764

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Toshiyuki ARAI et al.  
Serial No.: 09/781,496  
Filed: February 12, 2001  
For: INFORMATION PROCESSING APPARATUS AND METHOD  
Group A.U.: 2155  
Date: December 13, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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Transmitted herewith is a Preliminary Amendment in the above-identified application.

☒ No fee is required.

☐ The fee has been calculated as shown below.

☐ Total claims in excess of \_\_\_ previously paid for, at \$18 (\$9) \_\_\_

☐ Independent claims in excess of \_\_\_ previously paid for, at \$84 (\$42) \_\_\_

☐ Additional Fee for this Amendment \_\_\_

☐ This Response is being filed within the \_\_\_ first month, \_\_\_ second month, \_\_\_ third month, \_\_\_ fourth month, \_\_\_ fifth month following the expiration of the term originally set therefor. Applicants Petition for an extension, and the fee of \_\_\_ \$110 (\$55), \_\_\_ \$400 (\$200), \_\_\_ \$920 (\$460), \_\_\_ \$1,440 (\$720), \_\_\_ \$1,960 (\$980) is due and paid herewith.

☐ The fee of \$\_\_\_ set by 37 C.F.R. § 1.17(p) for the Information Disclosure Statement is due and paid herewith.

☐ A check in the amount of \$ 0.00 is attached.

☒ Please charge any additional fees or credit any overpayment to Deposit Account No. 03-3125.

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#5/A  
1-31-02  
M.L.

7217/63764

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Toshiyuki ARAI  
Serial No.: 09/781,496  
Filed: February 12, 2001  
For: INFORMATION PROCESSING APPARATUS AND METHOD  
Group A.U.: 2155

I hereby certify that this paper is being deposited this date with  
the U.S. Postal Service as first class mail addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

JAY H. MAIOLI  
Reg. No. 27,213

*Jay H. Maioli*  
Date 12.13.01

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December 13, 2001  
1185 Avenue of the Americas  
New York, NY 10036  
(212) 278-0400

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to the initial examination of the above-identified  
application, Applicant respectfully requests that the  
application be amended as follows:

IN THE ABSTRACT OF THE DISCLOSURE

Please amend the Abstract of the Disclosure by rewriting  
same to read as follows:

--An information processing apparatus having a receiver,  
a user identifier generator, a generation source identifier  
memory, and a transmitter. The receiver receives a request

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